

# ENROLLMENT REPORTS

Prepared  
for

Sample Client  
Executive Deferred Compensation Plan  
2019 Enrollment

Prepared  
by

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EMPLOYER: Sample Client  
 PLAN: Executive Deferred Compensation Plan  
 REPORT: Online Enrollment Status Report for 2019 Enrollment  
 ENROLLMENT PERIOD: 01/01/2018 through 12/31/2019

<u>Eligible Participants</u>	<u>Source</u>	<u>Status</u>	<u>Last Modified Date on Enrollment Site</u>	<u>Last Logged In to Participant Site</u>
Doe, Jill				08/14/2018 02:57 pm
Johnson, Carl				08/08/2017 10:22 pm
Johnson, Matt				08/08/2017 10:23 pm
Jones, Bob				11/27/2017 11:21 am
Jones, Jessica				11/29/2018 05:36 pm
Smith, John	Online	Completed	06/04/2019 09:59 pm	06/07/2019 09:16 am

SUMMARY:

Eligible Employees		6	
<u>Status</u>		<u># of Eligible Participants</u>	<u>Percentage</u>
Completed		1	16.67%
Incomplete		0	0.00%
Did not enroll		5	83.33%

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EMPLOYER: Sample Client  
PLAN: Executive Deferred Compensation Plan  
REPORT: Deferral Elections - 2019 Enrollment  
ENROLLMENT PERIOD: 01/01/2018 through 12/31/2019

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<u>Eligible Participants</u>	<u>Deferral (\$ / %)</u>	<u>\$ Cap</u>
Smith, John		
Bonus Deferrals	\$10,000.00	
Commission Deferrals	100.00 %	5,000
Employer Matching Contributions	100.00 %	
Salary Deferrals	\$75,000.00	

NOTE: If any participant elections above apply to a bonus (annual, fiscal year, performance-based or otherwise) paid for services performed after the election, the election applies to no more than the total amount of the bonus compensation for the performance period multiplied by the ratio of the number of days remaining in the performance period after this election over the total number of days in the performance period.

EMPLOYER: Sample Client  
 PLAN: Executive Deferred Compensation Plan  
 REPORT: Participant Enrollment Summary - 2019 Enrollment  
 ENROLLMENT PERIOD: 01/01/2018 through 12/31/2019

Participant Name: Smith, John

Please review the following summary for accuracy of your elections to the Deferred Compensation Plan. If there are any discrepancies in your understanding of your enrollment, please notify your Plan Sponsor immediately.

**Deferral Elections**

<u>Compensation</u>	<u>Elections</u>	<u>\$ Cap</u>
Bonus Deferrals	\$10,000.00	
Commission Deferrals	100.00 %	5,000
Employer Matching Contributions	100.00 %	
Salary Deferrals	\$75,000.00	

**Account Allocations**

<u>Compensation</u>	<u>Account</u>	<u>%</u>
Bonus Deferrals	Employee Deferral Account	50.00
	Scheduled Withdrawal Account - 1	25.00
	Scheduled Withdrawal Account - 4	25.00
Commission Deferrals	Employee Deferral Account	25.00
	Scheduled Withdrawal Account - 4	75.00
Employer Matching Contributions	Employer Match Account	100.00
Salary Deferrals	Employee Deferral Account	100.00

**Deemed Investment Allocation**

<u>Account</u>	<u>Fund</u>	<u>%</u>
Scheduled Withdrawal Account - 4	500 Index Trust	100.00
	Total	100.00

**Distribution Elections**

Distribution Type

Scheduled Withdrawal	
Scheduled Withdrawal Account - 4	Payout Event Date - 1/1/22 Payout Frequency Quarterly Paid Over Years 2